

## PATENT COOPERATION TREATY

3738

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

MARCH, Gary, Clifford  
Brookes Batchellor  
102-108 Clerkenwell Road  
London EC1M 5SA  
ROYAUME-UNI

|   |   |
|---|---|
| Date of mailing (day/month/year)<br>24 July 2001 (24.07.01) | IMPORTANT NOTIFICATION  |
| Applicant's or agent's file reference<br>GM/YC/98125 WO     |   |
| International application No.<br>PCT/GB99/03999             | International filing date (day/month/year)<br>30 November 1999 (30.11.99) |

## 1. The following indications appeared on record concerning:

☐ the applicant    ☐ the inventor    ☒ the agent    ☐ the common representative

|  |                                |                    |
|--|--------------------------------|--------------------|
| Name and Address<br>MARCH, Gary, Clifford<br>Batchellor, Kirk & Co.<br>102-108 Clerkenwell Road<br>London EC1M 5SA<br>United Kingdom | State of Nationality           | State of Residence |
|  | Telephone No.<br>0171 253 1563 |                    |
|  | Facsimile No.<br>0171 253 1214 |                    |
|  | Teleprinter No.                |                    |

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐ the person    ☐ the name    ☒ the address    ☐ the nationality    ☐ the residence

|  |                                |                    |
|--|--------------------------------|--------------------|
| Name and Address<br>MARCH, Gary, Clifford<br>Brookes Batchellor<br>102-108 Clerkenwell Road<br>London EC1M 5SA<br>United Kingdom | State of Nationality           | State of Residence |
|  | Telephone No.<br>020-7253-1563 |                    |
|  | Facsimile No.<br>020-7253-1214 |                    |
|  | Teleprinter No.                |                    |

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

|  |   |
|--|---|
| <input checked="" type="checkbox"/> the receiving Office                   | <input type="checkbox"/> the designated Offices concerned         |
| <input type="checkbox"/> the International Searching Authority             | <input checked="" type="checkbox"/> the elected Offices concerned |
| <input type="checkbox"/> the International Preliminary Examining Authority | <input type="checkbox"/> other:                                   |

|   |   |
|---|---|
| The International Bureau of WIPO<br>34, chemin des Colombettes<br>1211 Geneva 20, Switzerland<br>Facsimile No.: (41-22) 740.14.35 | Authorized officer<br>Anman QIU<br>Telephone No.: (41-22) 338.83.38 |
|---|---|

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
 United States Patent and Trademark  
 Office  
 Box PCT  
 Washington, D.C.20231  
 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

|  |  |
|--|--|
| <b>Date of mailing</b> (day/month/year)<br>07 August 2000 (07.08.00)             |  |
| <b>International application No.</b><br>PCT/GB99/03999                           | <b>Applicant's or agent's file reference</b><br>GM/YC/98125 WO       |
| <b>International filing date</b> (day/month/year)<br>30 November 1999 (30.11.99) | <b>Priority date</b> (day/month/year)<br>30 November 1998 (30.11.98) |
| <b>Applicant</b><br>CARO, Colin, Gerald et al                                    |  |

1. The designated Office is hereby notified of its election made:



in the demand filed with the International Preliminary Examining Authority on:

29 June 2000 (29.06.00)



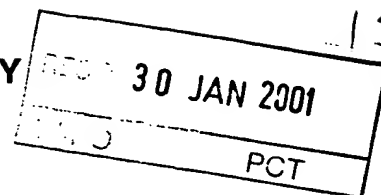
in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

|  |  |
|--|--|
| <b>The International Bureau of WIPO</b><br>34, chemin des Colombettes<br>1211 Geneva 20, Switzerland<br>Facsimile No.: (41-22) 740.14.35 | <b>Authorized officer</b><br><br>Pascal Piriou<br>Telephone No.: (41-22) 338.83.38 |
|--|--|



## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

|  |  |  |
|--|--|--|
| Applicant's or agent's file reference<br>GM/YG/98125 WO                                  | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)<br><b>FOR FURTHER ACTION</b> |  |
| International application No.<br>PCT/GB99/03999  | International filing date (day/month/year)<br>30/11/1999   | Priority date (day/month/year)<br>30/11/1998 |
| International Patent Classification (IPC) or national classification and IPC<br>A61L2/06 |  |  |
| Applicant<br>IMPERIAL COLLEGE OF SCIENCE, TECHNOLOGY...et al.                            |  |  |

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 7 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☒ Lack of unity of invention
- V ☐ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☒ Certain defects in the international application
- VIII ☒ Certain observations on the international application

|   |  |
|---|--|
| Date of submission of the demand<br><br>29/06/2000  | Date of completion of this report<br><br>26.01.2001  |
| Name and mailing address of the international preliminary examining authority:<br><br> European Patent Office<br>D-80298 Munich<br>Tel. +49 89 2399 - 0 Tx: 523656 epmu d<br>Fax: +49 89 2399 - 4465 | Authorized officer<br><br>Sampatakis, I<br><br>Telephone No. +49 89 2399 2336<br> |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/03999

## I. Basis of the report

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).):*

### Description, pages:

1-15 as originally filed

### Claims, No.:

1-23 as originally filed

### Drawings, sheets:

1/5-5/5 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB99/03999

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

☐ the entire international application.

☒ claims Nos. 1-23.

because:

☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

☒ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 1-23 are so unclear that no meaningful opinion could be formed (*specify*):  
**see separate sheet**

☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

☐ no international search report has been established for the said claims Nos. .

2. A meaningful international preliminary examination report cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

☐ the written form has not been furnished or does not comply with the standard.

☐ the computer readable form has not been furnished or does not comply with the standard.

**IV. Lack of unity of invention**

1. In response to the invitation to restrict or pay additional fees the applicant has:

☐ restricted the claims.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/GB99/03999

- ☐ paid additional fees.
- ☐ paid additional fees under protest.
- ☐ neither restricted nor paid additional fees.
- 2. ☒ This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
- 3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
  - ☐ complied with.
  - ☒ not complied with for the following reasons:  
**see separate sheet**
- 4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
  - ☒ all parts.
  - ☐ the parts relating to claims Nos. .

## VI. Certain documents cited

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

**see separate sheet**

## VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted:  
**see separate sheet**

## VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:  
**see separate sheet**

**Concerning section III**

The claims of the application lack clarity and conciseness as required by Art. 6 PCT for the following reasons:

1. The application contains four independent claims in the same (device) category. Thus, the claims are not concise as required by Art. 6 PCT (see also Guidelines PCT/GL/3 III-3.2 and 3.3) in particular in view of Claims 1, 2, 17 and 22, each of them specifying a stent, and repeating much of the content of the other. Two or more independent claims in the same category are allowable where it is not appropriate, having regard to the subject-matter of the application, to cover this subject-matter by a single (generic) independent claim in each category. In this application it would have been appropriate to have only one independent claim.
2. Claim 1 lacks clarity according to Art 6 for the following reasons:  
First, the feature "other than a graft" is not clear since it refers to the intention of combining or not the claimed stent with some third part (a natural vessel or an artificial graft) which third part is not contained in the subject-matter for which protection is sought.  
The further feature of Claim 1 that the supporting portion of the stent is of a shape and/or orientation which "corresponds to the geometry of the vessel" is again unclear, since the said vessel is neither defined (as to its shape/geometry) nor contained in the claimed subject-matter and it is thus impossible to clearly describe a claimed stent by reference to an (unknown) vessel with which the said stent will cooperate when in situ.

The further feature of Claim 1 that flow within the stent-supported vessel "can follow a non-planar curve if present in the vessel at the site of the stent" is totally unclear for the following reasons:

First the sentence "if present in the vessel at the site of the stent" cannot be understood as such. Second even if this sentence is to be interpreted in that if a non-planar flow would be present in the vessel without stent, then the stent should be such that said non-planar blood flow within the vessel should be retained, it has again to be mentioned that it is not possible to define

clearly the claimed device, i.e. the stent, by reference to what **should** happen if the claimed stent would be used in some particular "environment", which wording actually tries to describe a wish.

In conclusion, although Guidelines PCT GL/3 III-4.7 refer to describing a device in a claim by reference to the desired effect ("Ashtray" example), this passage clearly specifies that if the claim specifies "the construction and shape of the ashtray **as clearly as possible**, it may define **the relative dimensions** by reference to the result to be achieved", which is clearly not the case here, since no construction and shape of the stent has been defined in Claim 1 as clearly as possible.

The situation with Claims 2 and 22 is worst, since these claims contain only purely "wish-feature" (see: "adapted to flex three dimensionally .. accommodate and maintain in use non-planar curvature" or "impose a non-planar flow", respectively).

Due to the extend of **all the above** deficiencies the claims of the application lack clarity as a whole in such a degree that no meaningful examination is reasonable for the moment. Nevertheless general formal comments are contained, below for helping the applicant in possibly amending the application in any possible future regional phase.

#### **Concerning section IV**

The application lacks unity according to Rule 13 PCT in view of the following groups of claims:

(see PCT-Guidelines PCT/GL/3-Chapter III-7.1 and 7.5)

Unity a posteriori

This unity objection is raised in view of the plurality of independent claims, see also comments under part III, for the following reasons:

The common subject-matter between independent Claims 1, 2, 17 and 22 is the provision of a stent for supporting a natural blood vessel (note that Claim 17 does not refer to any three-dimensional flow etc.). Such a "normal" stent being apparently known e.g. from any of the documents of the Search Report, there is



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB99/03999

no link between these claims in the sense of Rule 13.2.

There are, thus, four different aspects claimed in the application which are not so linked to one another so as to be unite.

**Concerning section VI**

WO-A-98/53764; filed: 27.5.98; priority: 27.5.97; publ.: 3.12.98

This document does not form part of the state of the art according to Rule 64.1 b) PCT. It does, however, appear relevant to the novelty of the all the claims of this application, because, it is actually identical to the present application. Note that the priorities of both the application and the above mentioned citations are not checked in this instance.

**Concerning section VII**

Although no detail examination of the plurality of dependent claims of the application was possible in view of the comments under part III, it has nevertheless to be mentioned that prima vista at least the features of independent Claims 1, 2 and 22 appear to be known from WO 95/53764, see Figure 5 thereof, which document specifies a curved stent with a three-dimensional curvature, i.e. a stent being adapted to support a corresponding vessel and maintain a non-planar flow.

Reference signs in parentheses should have been inserted in the claims to increase their intelligibility, Rule 6.2(b) PCT. This applies to both the preamble and characterising portion.

**Concerning section VIII**

In this case it has been considered appropriate to deal with clarity issues under section III, above.

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

|  |   |  |
|--|---|--|
| Applicant's or agent's file reference<br><b>GM/YC/98125 WO</b>       | <b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |  |
| International application No.<br><b>PCT/GB 99/ 03999</b>             | International filing date (day/month/year)<br><b>30/11/1999</b>   | (Earliest) Priority Date (day/month/year)<br><b>30/11/1998</b> |
| Applicant<br><b>IMPERIAL COLLEGE OF SCIENCE, TECHNOLOGY...et al.</b> |   |  |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 4 sheets.  
☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the language, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
- ☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing :
- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☒ Unity of invention is lacking (see Box II).

**4. With regard to the title,**

- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established by this Authority to read as follows:

**5. With regard to the abstract,**

- ☒ the text is approved as submitted by the applicant.
- ☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the drawings to be published with the abstract is Figure No.

- ☒ as suggested by the applicant.
- ☐ because the applicant failed to suggest a figure.
- ☐ because this figure better characterizes the invention.

2  
☐ None of the figures.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/ 03999

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Multiple inventions:

1. Claims: 1-16

A stent for supporting part of a blood vessel other than a graft with the supporting portion being of a shape to cause flow within the vessel to follow a non-planar curve.

2. Claims: 17-21

A stent for supporting part of an intact blood vessel in combination with a sensor device adapted to assist in monitoring the condition of the blood vessel.

3. Claims: 22-23

A stent for capable of insertion into or attachment externally to an intact blood vessel other than a graft which is adapted to impose non-planar flow.

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: A61L 2/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|-----------|---|-----------------------|
| P,A       | WO 9853764 A2 (IMPERIAL COLLEGE OF SCIENCE<br>TECHNOLOGY & MEDICINE), 3 December 1998 (03.12.98),<br>abstract, figures<br>--          | 1-21                  |
| A         | WO 9509585 A1 (IMPERIAL COLLEGE OF SCIENCE,<br>TECHNOLOGY & MEDICINE), 13 April 1995 (13.04.95),<br>abstract<br>--                    | 1-16                  |
| A         | EP 0615769 A1 (KABUSHIKIKAISHA IGAKI IRYO SEKEI),<br>21 Sept 1994 (21.09.94), column 7,<br>line 11 - line 27, abstract<br>--<br>----- | 1-16                  |

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

13 March 2000

Date of mailing of the international search report

11 July 2000

Name and mailing address of the International Searching Authority  
European Patent Office P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
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Fax(+31-70)340-3016

Authorized officer

HÉLÈNE ERIKSON  
Telephone No.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

259623

02/12/99

International application No.

PCT/GB 99/03999

| Patent document<br>cited in search report |         |    | Publication<br>date | Patent family<br>member(s) |             | Publication<br>date |
|---|---------|----|---------------------|----------------------------|-------------|---------------------|
| WO  | 9853764 | A2 | 03/12/98            | GB                         | 9710905 D   | 00/00/00            |
| WO  | 9509585 | A1 | 13/04/95            | AU                         | 7621794 A   | 01/05/95            |
|   |         |    |                     | GB                         | 2297263 A,B | 31/07/96            |
|   |         |    |                     | GB                         | 9606880 D   | 00/00/00            |
|   |         |    |                     | GB                         | 9412882 D   | 00/00/00            |
| EP  | 0615769 | A1 | 21/09/94            | JP                         | 54029462 A  | 05/03/79            |
|   |         |    |                     | US                         | 5762625 A   | 09/06/98            |
|   |         |    |                     | JP                         | 6086827 A   | 29/03/94            |
|   |         |    |                     | WO                         | 9405364 A   | 17/03/94            |

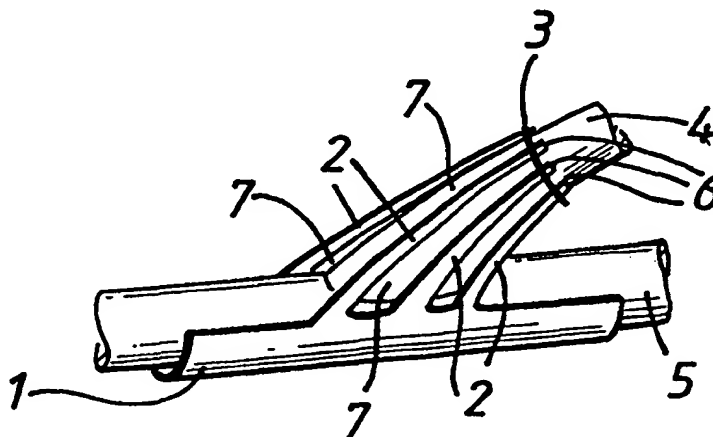


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|  |           |  |
|--|-----------|--|
| <b>(51) International Patent Classification <sup>7</sup>:</b><br><b>A61L 2/06</b>  | <b>A1</b> | <b>(11) International Publication Number:</b> <b>WO 00/32241</b><br><b>(43) International Publication Date:</b> 8 June 2000 (08.06.00)   |
| <b>(21) International Application Number:</b> PCT/GB99/03999<br><b>(22) International Filing Date:</b> 30 November 1999 (30.11.99)<br><b>(30) Priority Data:</b><br>9826254.6 30 November 1998 (30.11.98) GB<br><b>(71) Applicant (for all designated States except US):</b> IMPERIAL COLLEGE OF SCIENCE, TECHNOLOGY & MEDICINE [GB/GB]; Exhibition Road, London SW7 2AZ (GB).<br><b>(72) Inventors; and</b><br><b>(75) Inventors/Applicants (for US only):</b> CARO, Colin, Gerald [GB/GB]; Imperial College of Science, Technology & Medicine, Exhibition Road, London SW7 2A7 (GB). DOORLY, Denis, Joseph [IE/GB]; Imperial College of Science, Technology & Medicine, Exhibition Road, London SW7 2A7 (GB). McLEAN, Mary, Anne [US/GB]; Imperial College of Science, Technology & Medicine, Exhibition Road, London SW7 2AZ (GB).<br><b>(74) Agent:</b> MARCH, Gary, Clifford; Batchellor, Kirk & Co., 102-108 Clerkenwell Road, London EC1M 5SA (GB). |           | <b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).<br><br><b>Published</b><br><i>With international search report.</i><br><i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> |

**(54) Title:** STENTS FOR BLOOD VESSELS**(57) Abstract**

A stent for supporting part of a blood vessel which stent includes a supporting portion around which or within which part of an intact blood vessel other than a graft can be placed so that the stent internally or externally supports that part and the supporting portion of the stent is of a shape and/or orientation whereby flow within the vessel is caused to follow a non-planar curve. By maintaining non-planar curvature in the vessel itself, favourable blood flow velocity patterns can be achieved through generation therein of "swirl" flow. Failures in such vessels through diseases such as thrombosis, atherosclerosis, intimal hyperplasia or through blockage, kinking or collaps, can be significantly reduced.



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STENTS FOR BLOOD VESSELS

This invention is concerned with stents for supporting parts of blood vessels. More particularly it is concerned with stents as in-situ supporting devices for arteries and veins within the vascular system. The term 'artery' and 'vein' in the singular or plural, refers to the vein or artery or a part thereof but excludes any parts thereof which is a graft or which has been removed to serve as a graft.

Stents are known devices used in surgery especially in vascular surgery for providing physical support to blood vessels i.e. they can be used to help prevent kinking/occlusion of blood vessels such as veins or arteries and to prevent their collapse after dilatation or other treatment to maintain their patency.

It has been proposed that the flow pattern in arteries including the swirling pattern induced by their non-planar curvature operates to inhibit the development of vascular diseases such as thrombosis, atherosclerosis and intimal hyperplasia.

We have now devised an apparatus and technique for establishing and/or maintaining physiological curvature, including non-planar curvature within blocked, constricted or otherwise flow-restricted blood vessels such as arteries or veins as defined above.

By maintaining physiological curvature, which may

- 2 -

include non-planar curvature in the blood vessels, favourable blood flow velocity patterns can be achieved often through generation therein of 'swirl' flow.

Failures in such vessels through thrombosis, atherosclerosis, intimal hyperplasia or other diseases leading to blockage or due to kinking or collapse, can be significantly reduced.

According to this invention there is provided a stent for supporting part of a blood vessel, such as part of an intact vein or artery within the vasculature, which stent includes a supporting portion around which or within which part of that blood vessel can be placed so that the stent internally or externally supports that part and the supporting portion of the stent is of a shape and/or orientation whereby flow within the vessel is caused to follow a physiologically appropriate curve which may be non-planar.

The supporting portion of the stent may be fabricated to incorporate means to increase the ability of the stent to sustain displacement due to bending and torsion so that it may more readily accommodate

(i) a non-planar curved form; and/or

(ii) it may be pre-formed to provide an appropriate geometry to sustain a more favourable flow in the selected vessel after insertion, and/or

(iii) a geometric arrangement of the junction between the stent and branching vessel e.g. artery whereby

- 3 -

the tangent vector from the centreline of the stent intersects the centreline of the host vessel by consequence of a symmetric disposition of the stent with respect to the host vessel.

The stent may be of generally hollow tubular shape with three dimensional curvature. The stent is particularly preferred for use as an in-situ support internally within or externally around arteries and veins.

The stent may take the form of a series of linked members forming a tubular frame e.g. an open lattice generally tubular framework with discrete openings at each end thereof. Alternatively it may take the form of series of curved rings joined together.

A stent may be passed through the interior section of a blood vessel, which stent then provides support for that part of the blood vessel through which it passes and preferably imparts thereby to the vessel a geometry which includes non-planar curvature i.e. the vessel part supported by the stent can assume and maintain curvature which is non-linear. Part of the supported vessel in such embodiments thereby acquires a geometry which can be regarded as a part-helical or helicoidal curve even if the physical extent of the supported vessel is less than one complete turn of a helix e.g. less than  $\frac{1}{2}$  or less than  $\frac{1}{4}$  of such a turn.

A practical embodiment of a non-planar internal stent of type (ii) is one fabricated to adopt an appropriately helicoidal, helical, part helicoidal, or part-

- 4 -

helical form, to provide the required support for the blood vessel after its insertion.

In order that the invention may be illustrated, more easily understood and readily carried into effect by one skilled in this art, reference will now be made to the accompanying drawings of preferred embodiments by way of non-limiting example only, and in which:

Figures 1a to c depict an embodiment of a stent shaped to conform the blood vessel in non-planar curvature at a site where it is deployed,

Figure 2 shows an alternative embodiment of a stent,

Figure 3 shows a configuration of an artery with a stent deployed therein,

Figure 3a is a side view of the Figure 3 arrangement,

Figure 4 shows one suitably shaped stent adapted to establish and maintain non-planar curvature in an arterial part (i.e. part of a whole artery) as shown in Figures 3 and 3a,

Figure 4a is a side view of the Figure 4 stent - supported artery,

Figure 5 is an alternative embodiment of an internal stent based on a clip of e.g. shape memory alloy,

Figures 6a and 6b show a part-helical internal stent,

Figure 6c shows the stent of Figures 6a/6b internally supporting an arterial part,

- 5 -

Figure 7a shows an externally located stent for an artery and a sensor for transmitting flow or other data, and

Figure 7b shows a similar arrangement to figure 7a but wherein the sensor is located within the supported arterial part.

Referring to figures 1a to c of the drawings, the device shown may be fabricated from a thermosettable material, in the form of a hollow tube, the walls of which contain numerous openings so that the interior of the artery is not fully shielded.

In particular, figure 1(a) shows the stent before thermosetting, whereas figure 1(b) and (c) indicate possible configurations whereby prior thermosetting has rendered this stent to adopt the shape of a partially coiled, non-planar curve.

The stent is then inserted within the artery to ensure the geometrical configuration of the artery to a predetermined form in the locality of the stent.

The stent may be of constant diameter, or tapered, as in figure 1(a) to accommodate the common practice of deploying a stent in the vicinity of the junction of the artery with a parent or daughter artery. The stent may be fixed to the artery by sutures (shown arrowed) or to avoid trauma to the vessel, may be attached to a clip ring placed about the vessel.

The restraining action of the stent may be graduated, by mechanically "tapering" the rigidity of the

- 6 -

material: for example, at either end, material may be removed or the rigidity reduced by cuttings. An internally locatable stent is also provided which corresponds to the external stent just described, however such a stent is inserted into the interior of the vessel part rather than being placed exterior to the vessel.

Although intended for the cardiovascular system, embodiments of such stents could be incorporated elsewhere - e.g. in the gastrointestinal system, bile duct, genitourinary system for the "active" stent, this might for example be deployed with treatment of incontinence.

Referring to figure 2 the non-planarity of the vessel 4 is attained by supporting it with an external stent 1, 2 which comprises a longitudinal part section 1 of a cylinder, fabricated of a suitable porous biocompatible material, which may be of straight or curved section, to support that part of the artery 5 in the region of the stent, and integral with part section 1, or attached securely thereto are a plurality of elongate external support members 2, which are fabricated to define an internal region 7 of appropriate non-planar geometry.

The ends 6 of the support members 2 may be secured in situ by surgical thread (not shown) or by a fastening ring 3. The stented vessel 4 is located within that internal region 7.

Figures 3 and 3a depict a non-planar configuration of stent and artery wherein a stent (artery 5, stent 4)

- 7 -

having a non-planar curve is surgically attached offset to the central portion of the artery 5 in that it is at least partly tangential to the artery, see the direction of flow arrow in figure 3a.

The external stent (1,2) of Figure 2 can be modified to support and maintain the non-planar curvature of the artery in the Figure 3/3a arrangements, by for example the structure as depicted in Figures 4/4a. Figures 4 and 4a have reference numerals which correspond with those used in figure 2 described above.

As shown in Figure 5 an internal stent for establishing and/or maintaining non-planar curvature of a vessel part comprises a clip 8 which is part coiled or at least part helical of shape memory alloy, affixed to a cylindrical wire mesh 9. This is an embodiment of a torsionally flexible stent.

Figures 6A and 6B show an alternative embodiment of an internal stent, in which the stent 1 is fabricated from a linked wire mesh of part helical form. The material used is preferably a shape memory alloy to facilitate insertion of the stent. Figure 6C shows the stent located in the vessel post insertion. The stent 4 surgically attached to artery 5 has been shown 'transparent' for purposes of illustration, to show the internally located, part helical wire mesh stent in-situ.

Referring to Figures 7A and 7B, either internal or external stents may incorporate devices which assist in

- 8 -

monitoring the condition of either the graft or the host vessel or both.

In one possible embodiment shown in figure 7A, an external stent 1 incorporates a sensor portion 10 for monitoring the condition of the host artery. The sensor portion is a ring placed over the host artery 5, attached to the tubular stent 1 placed over the graft. The sensor and stent may be secured together by means of clips or threads during the operation to insert the graft. The sensor 10 may incorporate one or several ultrasound probes, or it may comprise a coil for use with magnetic resonance imaging. The sensor portion may be electrically connected by leads 11, only partly shown, to a remote module or modules (not shown) which incorporate the required power supply, signal detection and recording devices for data capture and transmission. Some or all of the modules to which the sensor is connected may be implanted within the body of the person receiving the graft, and incorporate appropriate means such as telemetry for transcutaneous data monitoring.

In a still further embodiment, shown in figure 7B, an external stent 1 comprises a fabric or porous structure 12 attached to several outer supporting members having the external appearance of linked rings or discs 13. For a portion of the stent, these outer members incorporate a sensor device 10a or series of sensors such as miniature radio frequency and/or gradient coils for magnetic resonance imaging, or ultrasound transducers. The power supply for



the sensors, excitation and data monitoring may be as in the figure 7A embodiment. Electrical wires 11 connect the sensor device 10a to the appropriate remote module or modules (not shown).

In another embodiment of an internal or external stent the sensor may incorporate a means to detect certain chemical markers which are indicative of the condition of the flow and/or arteries. It may also contain a means whereby a supply of pharmacological agent may be administered in situ, for example by being connected to an implanted supply of drugs which are caused to be delivered by appropriate implanted machinery.

In other embodiments of an internal or external stent, the sensory action of the stent may derive from the construction of some or all of the supporting members which form the stent. In one such embodiment, the sensory action derives from a coil or coils of an electrically conducting material wound around the perimeter of the stent or interspersed at intervals along the stent which coil or coils may be excited by extracorporeal magnetic and/or electromagnetic fields, and the signal from the stent detected by magnetic coupling with an external detecting coil.

- 10 -

Loss of patency of stents remains a serious problem. The principal pathology at later times is intimal hyperplasia and important sites of its occurrence are apparently immediately upstream and downstream of stents. Most attention appears to have focused on compliance mismatch (arterial distensibility greatly exceeds stent distensibility) as underlying this distribution. However, because stents are effectively straight cylinders and arteries curve three dimensionally, compliance mismatch is also likely to be associated with local distortion of arterial geometry and hence distortion of the flowfield, with implications for vessel biology and pathology.

We propose ex vivo studies of stent-induced distortion of the geometry and flowfield in arteries. Stents will be deployed at a few selected sites of non-planar curvature in physiologically pressurised animal arteries and epoxy resin casts will be made of the stented vessels. Geometric data obtained by MRI from the casts, together with a range of assumed physiological flows, will enable detailed determination of the local flowfield including the distribution of wall shear stress by computational (CFD) simulations. In some instances moulds of the epoxy resin casts will be perfused and the flowfield, measured by MRI, will provide a check on the CFD simulations.

As a step towards remedying the problem of stent-induced distortion of the geometry and flowfield in arteries, we propose the deployment of appropriately pre-shaped stents, obtained by exploiting the shape-memory properties of nitinol. After their deployment the local geometry and flowfield will be studied using the same methods as adopted for control stents. The generation of swirling flows and a reduction of the geometric and flowfield distortion would encourage further deployment of pre-shaped shape-memory stents and/or on the engineering of stents less liable to distort the local geometry and

- 11 -

flowfield.

The principal questions that need to be addressed are:

- (1) How is the geometry of an artery which is naturally curved in three dimensions altered by the insertion of a stent, which restricts the ability of the artery to maintain its curvature?
- (2) What are the consequences of this modification in the local geometry for the flowfield within the stent and immediately adjacent to it?
- (3) What geometric form should a stented portion of artery adopt in order to obtain as uniform a distribution of wall shear stress within the stent and immediately adjacent to the stent as possible?

The local flow pattern in blood vessels (including wall shear) markedly influences their biology and, it appears, the development of vascular disease.

For example, atherosclerosis appears to develop preferentially at locations in arteries where the wall shear is on average low and/or there are large oscillations of wall shear. Furthermore, the preferred region for the occurrence of intimal hyperplasia at end-to-side arterial bypass grafts appears to be where wall shear is low, there is flow separation, and/or there are large oscillations of wall shear during the cardiac cycle. Increase of blood flow (assumed to imply increase of wall shear) decreases the severity of intimal hyperplasia (or causes the regression of pre-existing disease). However, a very large increase of wall shear in small diameter grafts is associated with low patency rates, seemingly because of thrombosis. Several studies suggest that the principal factor determining the flow field is vessel geometry but vessel elasticity and the non-Newtonian nature of blood can affect the details of the flow.

There is an appreciable risk of loss of patency of

- 12 -

stents at later times, principally due to intimal hyperplasia. Stenting is associated with acute mechanical injury to the intima/media. There would not appear to have been detailed work on the role of fluid dynamics in the occurrence of intimal hyperplasia at sites of stenting, or on the preferred sites of occurrence of the process. However, histopathological cross-sections of stented vessels show in some instances a non-axisymmetric distribution of intimal hyperplasia, consistent with a role of the local flowfield in its development.

The Reynolds number for flow in large and medium-sized human arteries is typically much greater than unity, implying that inertial forces dominate over viscous forces. As a result and as implied above, the flowfield is substantially determined by the local geometry. We have recently proposed that the curvature and branching of arteries is commonly non-planar. We have proposed furthermore that the flow is commonly swirling in nature and, unlike that associated with planar curvature and branching, characterised by a relatively uniform distribution of wall shear.

In the light of these proposals and that intimal hyperplasia at end-to-end arterial bypass grafts affects preferentially regions which experience flow wall shear, we have studied the velocity field in model planar and non-planar end-to side grafts, using steady laminar flow and methods including flow visualisation, MRI and computational fluid dynamics. The outstanding findings were much improved mixing within the non-planar model at the 'heel', 'floor' and 'toe,' the preferred sites for intimal hyperplasia. In addition, we found with the non-planar model a marked reduction of peak wall shear stress at the 'floor' of the anastomosis and a greatly increased flux of velocity into the occluded region proximal to the anastomosis. Consequently, wall shear stress in the occluded region was higher with the non-planar model than the planar model.

In recent model studies, we have used a physiological non-steady flow and obtained generally similar results. Moreover, in other recent studies with a model incorporating a sharp bend, we have found non-planar geometry apparently to affect the location and extent of flow separation and markedly to reduce the unsteadiness of the flow.

MR Imaging of Stents In Vitro: Preliminary in vitro MRI studies can be extended, in order to establish the accuracy of imaging the geometry and flowfield in a small series of nitinol stents of different diameter, in the range 8mm-3mm.

The flows will be laminar and either steady or non-steady in the physiological range; it is preferred to use a pump capable of generating physiological flow waveforms. the tubes in which the stents will be deployed will curve in one or more planes. The latter curvature will test the ability to measure stent geometry and the flowfield under nearly physiological conditions.

Although nitinol stents are metallic, their magnetic susceptibility is sufficiently close to that of human tissue to permit high quality MR imaging. Imaging strategies can be investigated which minimise artifacts. These strategies preferably include ultra-short echo times and modified spin-echo methods. Changes to the construction of the stent can also be investigated to create a stent which has both improved flow characteristics and MR imaging characteristics.

MRI Imaging of Stents in Excised Arteries: Nitinol stents supplied in freshly excised pig arteries can be used. Vasomotor activity may be lost in the preparations, but it is unlikely that their distensibility will be grossly abnormal; similar preparations are widely used in vascular distensibility studies.

The stents are preferably deployed for testing at a few selected sites where non-planar geometry can be expected

- 14 -

- probably the origins of the coeliac, renal and common iliac arteries. To ensure near-physiological anatomy and mechanics, the stents can be deployed in vessels still tethered by surrounding tissues and still supported by major structures such as the lumbar spine.

It is possible to prepare vascular casts and study the geometry and flowfield by MRI. Vessel geometry can be determined by preparing epoxy resin casts at physiological transmural pressure; in a few instances casts in different pig preparations will be made at systolic and diastolic pressure, to determine static strain over the pulse pressure. After setting, the cast will be dissected from tissue and imaged in a small-bore MR scanner.

Current designs of stents are shown in Figure 8. A series of rings are provided in which the material has the form of a vase as the ring is harnessed in the azimuthal direction, with occasional link members (see figure 9) which join one ring to the next or simple spot welds.

To incorporate torsional and bending flexibility these link members are replaced by elements with a considerably greater flexibility;

The flexibility may be achieved by increasing the length of the link member whilst changing their point of attachment as in Fig 10.

Alternatively the link members may be made of an appropriate spring like shape.

In the embodiments of figures 10 and 11, the link member is welded at some distance away from closest point, and is more flexible by virtue of increased length.

In the embodiment of figure 12, the link member is a wavy or spring coil form (at least in part) so that it has greater flexibility.

- 16 -

CLAIMS

1. A stent for supporting part of a blood vessel which stent includes a supporting portion around which or within which part of an intact blood vessel other than a graft can be placed so that the stent internally or externally supports that part and the supporting portion of the stent is of a shape and/or orientation which corresponds to the geometry of the vessel whereby flow within the stent-supported such vessel can follow a non-planar curve if present in the vessel at the site of the stent.
2. A stent for an intact blood vessel other than a graft which is adapted to flex three dimensionally but which maintains sufficient torsional flexibility to accommodate and maintain in use non-planar curvature present in arteries or veins.
3. A stent as claimed in claim 1 or 2 wherein the supporting portion of the stent is fabricated to incorporate a non-planar curved form.
4. A stent as claimed in any preceding claim wherein the supporting portion is fabricated to incorporate a geometric arrangement of the vessel whereby the tangent vector from the centreline of the stent intersects the centreline of the vessel by consequence of a symmetric disposition of the stent with respect to the vessel at the junction with the stent.
5. A stent as claimed in any preceding claim which is of generally hollow tubular shape with three-dimensional curvature.
6. A stent as claimed in any one of claims 1 to 4 in the form of an open lattice generally tubular framework with



- 17 -

discrete openings at each end thereof.

7. A stent as claimed in any preceding claim comprising a first supporting structure adapted to support or otherwise contact part of the vessel, with a secondary supporting structure extending away from the first supporting structure, but simultaneously capable of supporting the vessel part, said secondary structure capable of maintaining a vessel part when located therein in non-planar curvature.

8. A stent as claimed in claim 7 wherein the secondary supporting structure comprises a plurality of elongate members linked in the region of their ends remote from the first supporting structure.

9. A stent as claimed in claim 7 or 8 wherein said elongate members define a curved section whose curvature is non-planar.

10. A stent as claimed in any preceding claim fabricated from a material capable of torsional flexibility, such as from shape memory alloy.

11. A stent as claimed in any preceding claim which is for use in supporting a vessel part internally, fabricated from a linked mesh or series of linked wire members which is coiled or partly coiled or helical or partly helical.

12. A stent as claimed in any preceding claim in combination with a device which assists in monitoring the condition of the vessel.

13. A stent as claimed in claim 12 wherein the device is a sensor adapted to transmit a signal responsive to one or more internal flow conditions.

14. A stent as claimed in claim 13 in which the sensor

- 18 -

is ring-shaped and is electrically connected to a remote module incorporating power supply, signal detection and recording means.

15. A stent as claimed in claim 13 or 14 wherein the sensor is adapted to transmit signals which can be monitored by ultrasound and/or magnetic resonance imaging and/or electron spin resonance imaging techniques.

16. A stent as claimed in any one of claims 13 to 15 wherein the sensor portion forms an integral part of the stent and the means of excitation and signal detection are entirely extracorporeal.

17. A stent for supporting part of an intact blood vessel other than a graft which stent includes a supporting portion around which or within which part of that blood vessel can be placed so that the stent internally or externally supports that part, in combination with at least one sensor device adapted to assist monitoring the condition of the vessel.

18. A stent as claimed in claim 17 wherein the sensory device is adapted to transmit a signal responsive to one or more internal flow conditions within the vessel part.

19. A stent as claimed in claim 17 or 18 wherein the sensory device is ring-shaped and is electrically connected to a remote module incorporating power supply, signal detection and recording means.

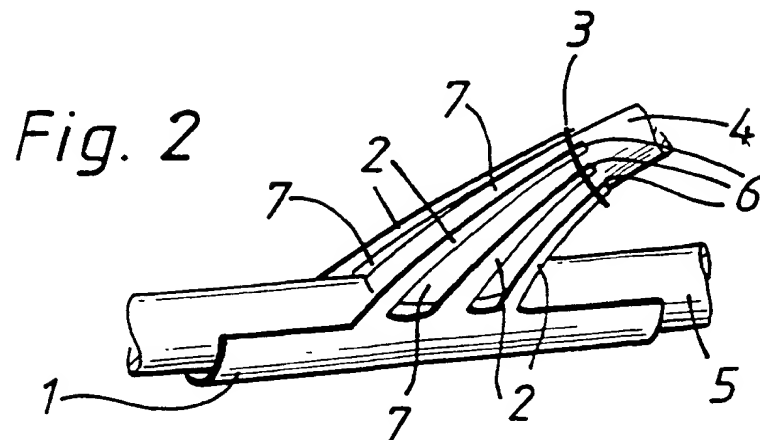
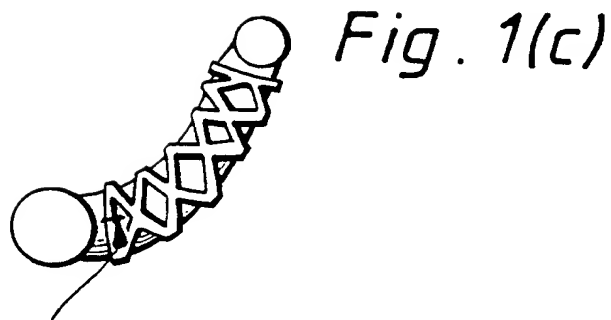
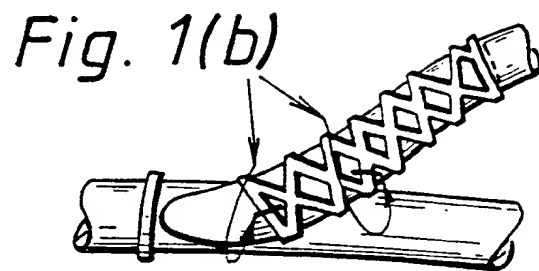
20. A stent as claimed in any one of claims 17 to 19 wherein the sensory device is adapted to transmit signals which can be monitored by ultrasound and/or magnetic resonance imaging and/or electron spin resonance techniques.

21. A stent as claimed in any one of claims 17 to 20 wherein the sensory device forms an integral part of the stent and the means of excitation and signal detection are entirely extracorporeal.

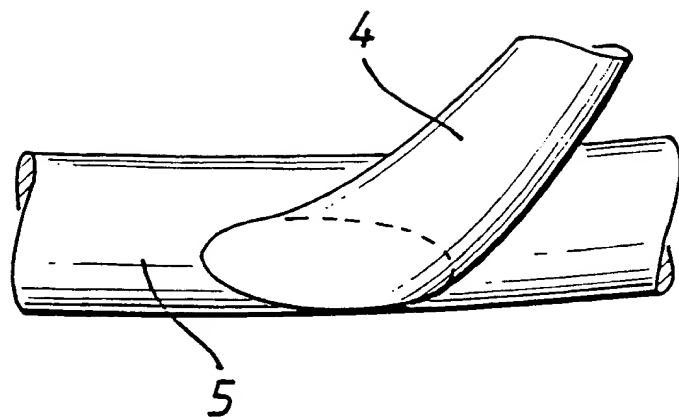
22. A vascular stent capable of insertion into or attachment externally to an intact blood vessel other than a graft which is adapted to impose non-planar flow therein or adopt its configuration in use to the geometry of the blood vessel so as to maintain therein any blood flow therein which is non-planar.

23. A stent as claimed in claim 22 in combination with a sensor device as defined in any of claims 13 to 21.

1/5

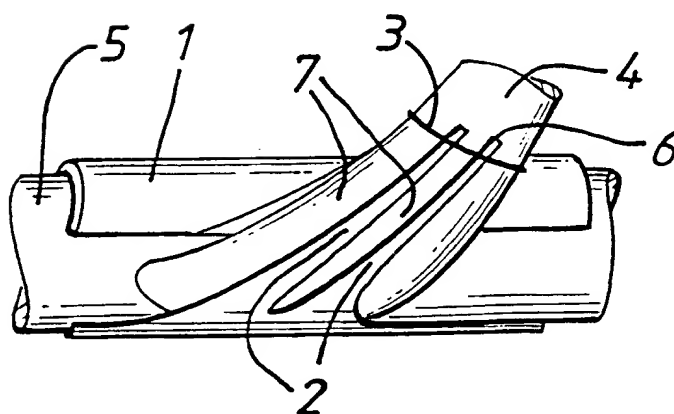
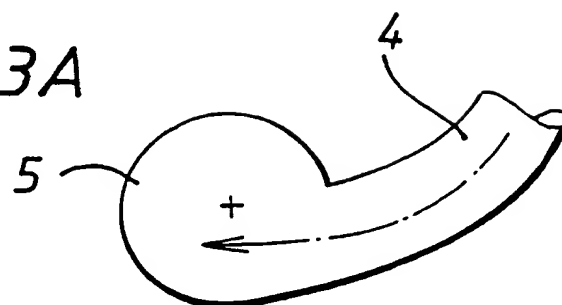


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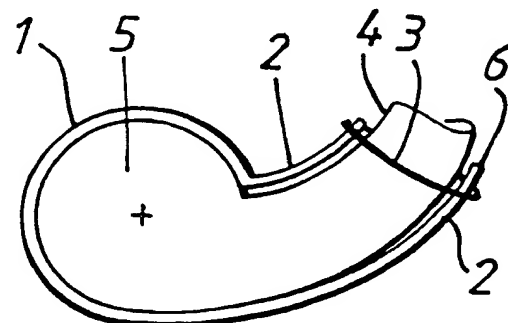
*Fig. 3*

*Fig. 3A*

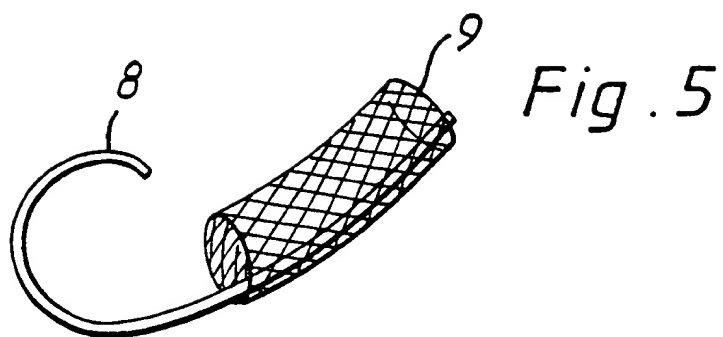


*Fig. 4*

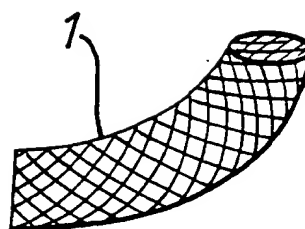
*Fig. 4A*



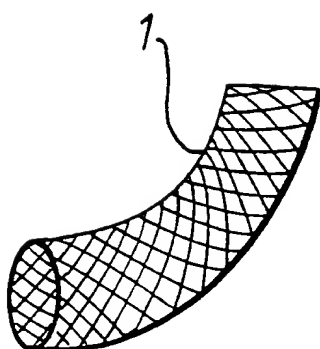
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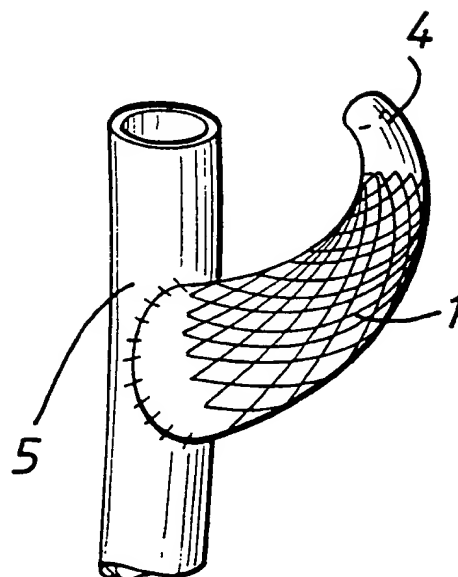
*Fig. 6A*



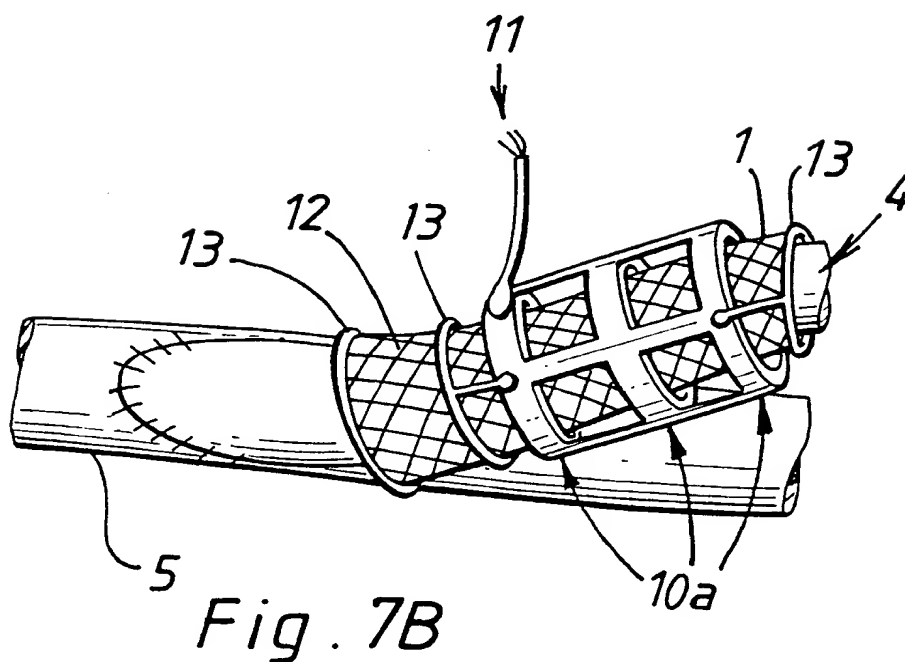
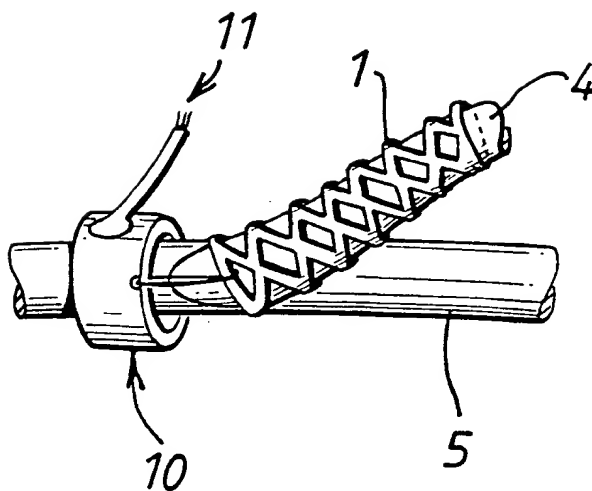
*Fig. 6B*



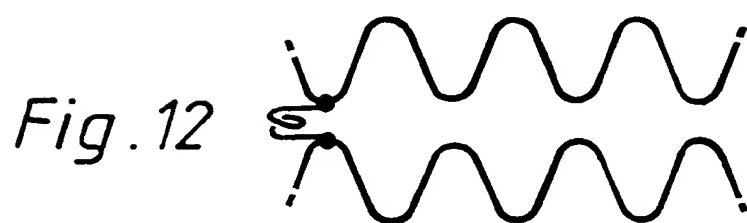
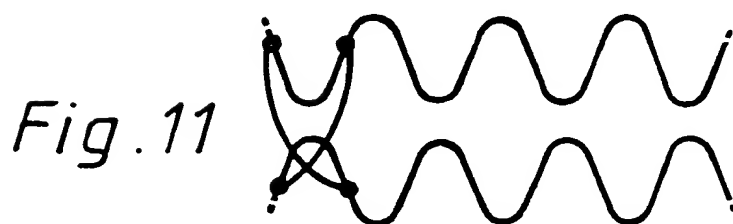
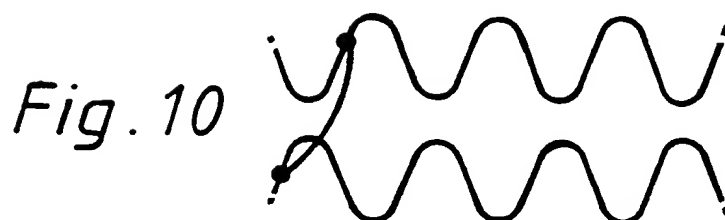
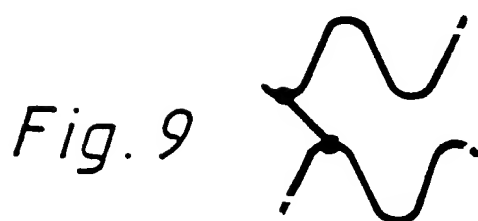
*Fig. 6C*



4/5



5/5





# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/03999

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: A61L 2/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|-----------|---|-----------------------|
| P,A       | WO 9853764 A2 (IMPERIAL COLLEGE OF SCIENCE TECHNOLOGY & MEDICINE), 3 December 1998 (03.12.98), abstract, figures<br>--          | 1-21                  |
| A         | WO 9509585 A1 (IMPERIAL COLLEGE OF SCIENCE, TECHNOLOGY & MEDICINE), 13 April 1995 (13.04.95), abstract<br>--                    | 1-16                  |
| A         | EP 0615769 A1 (KABUSHIKIKAISHA IGAKI IRYO SEKEI), 21 Sept 1994 (21.09.94), column 7, line 11 - line 27, abstract<br>--<br>----- | 1-16                  |

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

13 March 2000

Date of mailing of the international search report

11 04 2000

Name and mailing address of the International Searching Authority  
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Telephone No.

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/GB 99/ 03999

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/ GB 99/03999

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

### Multiple inventions:

#### 1. Claims: 1-16

A stent for supporting part of a blood vessel other than a graft with the supporting portion being of a shape to cause flow within the vessel to follow a non-planar curve.

#### 2. Claims: 17-21

A stent for supporting part of an intact blood vessel in combination with a sensor device adapted to assist in monitoring the condition of the blood vessel.

#### 3. Claims: 22-23

A stent for capable of insertion into or attachment externally to an intact blood vessel other than a graft which is adapted to impose non-planar flow.

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
PCT/GB 99/03999

| Patent document<br>cited in search report |         |    | Publication<br>date | Patent family<br>member(s) |             | Publication<br>date |
|---|---------|----|---------------------|----------------------------|-------------|---------------------|
| WO  | 9853764 | A2 | 03/12/98            | GB                         | 9710905 D   | 00/00/00            |
| WO  | 9509585 | A1 | 13/04/95            | AU                         | 7621794 A   | 01/05/95            |
|   |         |    |                     | GB                         | 2297263 A,B | 31/07/96            |
|   |         |    |                     | GB                         | 9606880 D   | 00/00/00            |
|   |         |    |                     | GB                         | 9412882 D   | 00/00/00            |
| EP  | 0615769 | A1 | 21/09/94            | JP                         | 54029462 A  | 05/03/79            |
|   |         |    |                     | US                         | 5762625 A   | 09/06/98            |
|   |         |    |                     | JP                         | 6086827 A   | 29/03/94            |
|   |         |    |                     | WO                         | 9405364 A   | 17/03/94            |